

EXAMPLES

RISK REGISTER

RISK NO.	RISK CATEGORY	RISK NAME/DESCRIPTION	CONTROLS	CONSEQUENCE	LIKELIHOOD	RISK RATING LEVEL	RISK SCORE	RISK OWNER
5	Legal/ Commercial	Failure to adequately report to the regulator resulting in potential fines and penalties	Governance framework Reporting Policies and procedures	Major	Possible	High	12	Chief Executive Officer
2	Financial/ funding	Inability to raise adequate funding resulting in the potential impact on program delivery	Funding process Grants Financial control	Moderate	Possible	High	9	Chief Financial Officer
10	Human resources	Inability to adequately implement (human) resource programs with possible program delays and impact on reputation	HR process Retention policy Remuneration policy	Moderate	Unlikely	Medium	6	Human Resources Manager
4	Health & Safety	Failure of staff to follow procedures resulting in potential injury and health & safety incident	Health & Safety policy Health & Safety Procedures Training Internal Health & Safety Reviews	Moderate	Unlikely	Medium	6	Health & Safety Officer

NOTE: THE RISKS ARE ASSESSED WITH THE EXISTING CONTROLS IN PLACE TO MANAGE THE RISKS.

RISK REGISTER (CONTINUED)

[illegible]

NOTE: THE RISKS ARE RE-ASSESSED AFTER THE RISK TREATMENT PLANS HAVE BEEN DEVELOPED AND IMPLEMENTED.